

FUND OBJECTIVE

The Global X Conscious Companies ETF seeks to provide investment results that correspond generally to the price and yield performance, before fees and expenses, of the Concinnity Conscious Companies Index.

For informational purposes only. This document should be used to highlight some of the criteria to be used by index provider when publishing index constituents and is not comprehensive.

ETF Category:
Core - Sustainable Investing

As of 4/1/20

Index Provider: CONCINNITY



KEY FEATURES



Well-Managed
Companies



Rigorously
Researched



Depth and
Breadth

CONCINNITY CONSCIOUS COMPANIES INDEX

SELECTION POOL

The Concinnity Conscious Companies Index is designed to give investors an opportunity to invest in what Concinnity Advisors, LP believes are “well-managed” companies that appear to achieve financial performance in a sustainable and responsible manner. Concinnity Advisors, LP has developed a strategy that asserts “well-managed” companies are those that adopt a multi-stakeholder operating system as the nucleus for their long-term value creation process. Concinnity believes that these companies understand that successful performance in today’s marketplace largely depends on the quality of their relationships with the following stakeholders: Customers, Employees, Suppliers, Stock and Debt Holders, and the Community in which the company operates.

RANKING

- The Index is derived from the annual Concinnity MsOS List. A component must have appeared at least three consecutive years on the list in order to be included in the Index.
- Minimum Market Capitalization of \$2 billion and a minimum average daily trading value for the last 30 days greater than or equal to \$4 million.
- Sectors, beta, and tracking error are balanced vs the Solactive US Large Cap Index. The Concinnity Conscious Companies Index is balanced only by removing companies.

WEIGHTING

- The underlying constituents will be equally weighted with adjustments for extreme underweight exposures to the Solactive US Large Cap Index.

REBALANCING

The Index will be reconstituted annually in October. The Index will be rebalanced quarterly in January, April, and July. The rebalance process starts with any necessary deletion of constituents, and then the equal weighting of the remaining constituents. Constituents can be deleted from the Index at the quarterly rebalance for:

- Falling below a \$1.5 billion Market Capitalization.
- Having a minimum average daily trading value for the last 30 days of less than \$3 million.
- Extraordinary events relating to a company’s treatment of one (or more) of the five stakeholders defined in the Introduction section, as determined by Concinnity Advisors, LP.



For more information on the Index, please visit Concinnity's website.

*For the complete and current index methodology please refer to the index provider's website. This summary document is accurate as of the time of its publication and Global X does not guarantee that it is current at any point thereafter.

The selection of the index constituents and their weighting is made by the index provider at its sole discretion.

Carefully consider the fund's investment objectives, risks, and charges and expenses. This and other information can be found in the fund's full or summary prospectus, which may be obtained by visiting globalxetfs.com. Please read the prospectus carefully before investing.

Investing involves risk including possible loss of principal. The Fund may underperform other similar funds that do not consider conscious company guidelines when making investment decisions.

Shares of ETFs are bought and sold at market price (not NAV) and are not individually redeemed from the Fund. Brokerage commissions will reduce returns. Indices are unmanaged and do not include the effect of fees, expenses or sales charges. One cannot invest directly in an index.

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